

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: LEE, Geoffrey C.

Group Art Unit:

Serial No.

Examiner:

Filed: HEREIN

Atty. Dkt. No: LOC005-US

For: CANDIDATE-INITIATED BACKGROUND CHECK AND VERIFICATION

To: Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Fm:

24222

CERTIFICATE OF MAILING 37 CFR 1.10: I certify that this correspondence is being deposited on the below date with the U.S. Postal Service with sufficient postage as EXPRESS MAIL POST OFFICE TO ADDRESSEE addressed to: Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA22313-1450.

Date: 02/04/2004

*Debra A. Stengel*  
☒ Debra A. Stengel or ☐ Scott J. Asmus, Reg. No. 42,269

Commissioner:

LETTER OF TRANSMITTAL

Submitted herewith is a application for United States patent consisting of 1 page Fee Transmittal form PTO/SB/17 to cover filing fee, 1 page Patent Application Bibliographic Data Sheet, 52 pages of specification and claims, 8 sheets of drawings, 1 page of declaration and power of attorney.

☒ (37 CFR 1.9(f) & 1.27(c)) Small Entity status applies.

☒ (35 U.S.C. 122(b)(2)(B)(i)) PTO form PTO/SB/35 Nonpublication Request enclosed

☒ (37 CFR 1.84(J)) - Applicant requests FIG. 2 for inclusion on the front page of the patent application publication and patent.

☐ PCT application being filed concurrently

This application claims priority to US Application Serial Number 60/501,210 filed on 09/08/2003.

The invention was not made by an agency of the United States Government nor under a contract with an agency of the United States Government.

17698 U.S. PTO

16834 U.S. PTO  
10/771534  
020404

EXPRESS MAIL CERTIFICATE EL 962 754 796 US

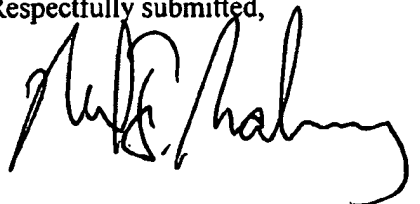
Fee calculation table:

Fee calculation is based on 4 independent and 37 total claims

<input checked="" type="checkbox"/> 37CFR1.16(a) Basic filing fee – Utility - \$385.00	\$385.00
<input checked="" type="checkbox"/> 37CFR1.16(b) Independent claims in excess of three - <u>1</u> x \$43.00 ea.	43.00
<input checked="" type="checkbox"/> 37CFR1.16(c) Claims in excess of twenty - <u>17</u> x \$9.00 ea.	<u>153.00</u>
TOTAL DUE:	\$581.00

The Office is hereby authorized to charge the \$ 581.00 filing fee for the application submitted herein to deposit account 500323. The Office is also hereby authorized to charge any deficiency or credit any overpayment in the fees to deposit account 500323.

Respectfully submitted,



Vernon C. Maine, Reg. No. 37,389  
Scott J. Asmus, Reg. No. 42,269  
Neil F. Maloney, Reg. No. 42,833  
Andrew P. Cernota, Reg. No. 52,711  
Attorneys/Agents for Applicant

Cus. No. 24222

Maine & Asmus

PO Box 3445

Nashua, NH 03061-3445

Tel. No. (603) 886-6100, Fax. No. (603) 886-4796

Info@maineandasmus.com

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 581.00)

## Compleat if Known

Application Number  
Filing Date HEREIN  
First Named Inventor LEE, Geoffrey C  
Examiner Name  
Art Unit  
Attorney Docket No. LOC005-US

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 500323  
Deposit Account Name Maine & Asmus

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	Utility filing fee	385
1002	2002	340	170	Design filing fee	
1003	2003	530	265	Plant filing fee	
1004	2004	770	385	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 385)

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
37	-20** = 17	X 9 = 153	
Independent Claims 4	-3** = 1	X 43 = 43	
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
1202	2202	18	9	Claims in excess of 20
1201	2201	86	43	Independent claims in excess of 3
1203	2203	290	145	Multiple dependent claim, if not paid
1204	2204	86	43	** Reissue independent claims over original patent
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 196)

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130	Non-English specification	
1812	1812	2,520	2,520	For filing a request for ex parte reexamination	
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	
1252	2252	420	210	Extension for reply within second month	
1253	2253	950	475	Extension for reply within third month	
1254	2254	1,480	740	Extension for reply within fourth month	
1255	2255	2,010	1,005	Extension for reply within fifth month	
1401	2401	330	165	Notice of Appeal	
1402	2402	330	165	Filing a brief in support of an appeal	
1403	2403	290	145	Request for oral hearing	
1451	1451	1,510	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1,330	665	Petition to revive - unintentional	
1501	2501	1,330	685	Utility issue fee (or reissue)	
1502	2502	480	240	Design issue fee	
1503	2503	640	320	Plant issue fee	
1460	1460	130	130	Petitions to the Commissioner	
1807	1807	50	50	Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180	Submission of Information Disclosure Stmt	
8021	8021	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	770	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	770	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	770	385	Request for Continued Examination (RCE)	
1802	1802	900	900	Request for expedited examination of a design application	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

### SUBMITTED BY

Name (Print/Type) Neil F. Maloney Registration No. 42,833 Telephone 603-886-6100  
Signature [Signature] Date February 4, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. . Box 1450, Alexandria, VA 22313-1450.

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